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# Universe with a large lepton asymmetry

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Refs. MK, Murai [arXiv:2203.09713](#), [2308.13134](#)

Kasuya, MK, Murai [arXiv:2212.13370](#)

Kasai, MK, Murai [arXiv:2402.11902](#)

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# 1. Introduction

- He4 is produced in Big Bang Nucleosynthesis (BBN)
- Recent new measurements of He4 (together with previous data) determined primordial He4 abundance

$$Y_p = 0.2370^{+0.0034}_{-0.0033}$$

$$Y = \rho_{\text{He4}} / \rho_B$$

- $\sim 1\sigma$  smaller than the previous results

- New  $Y_p$  (+D obs.) causes  $> 2\sigma$  tension between constraint on  $N_{\text{eff}}$  and the standard value

- Suggests asymmetry between  $\nu_e$  and  $\bar{\nu}_e$

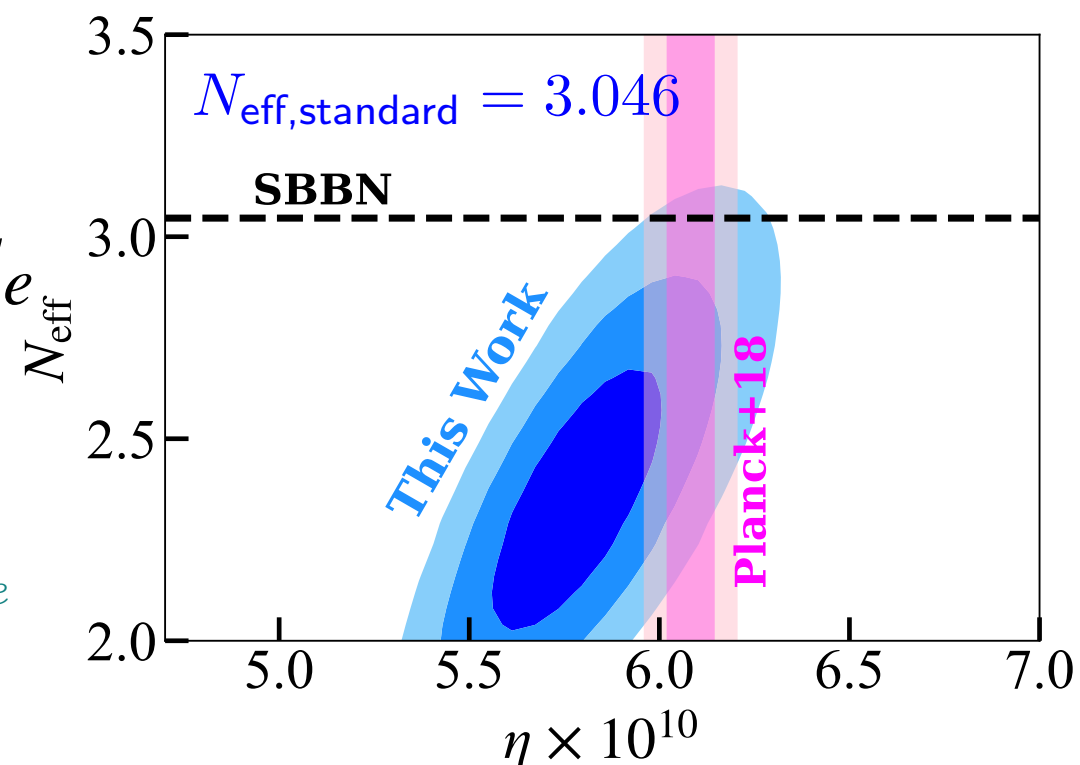
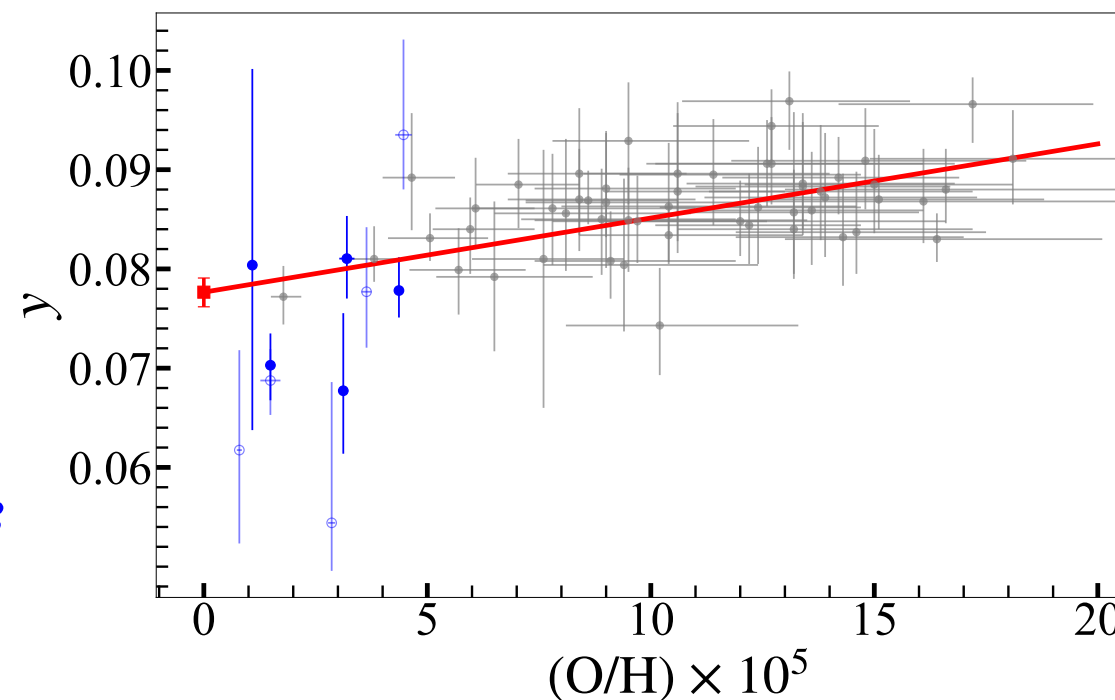
- Chemical potential parameter

$$\xi_e = 0.05^{+0.03}_{-0.02}$$

$$N_{\text{eff}} = 3.11^{+0.34}_{-0.31}$$

$$n_{\nu_e} - n_{\bar{\nu}_e} \simeq \frac{T^3}{6} \xi_e$$

Matsumoto et al. arXiv: 2203.09617



# 1 Introduction

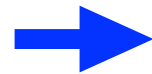
- This implies the total lepton asymmetry

$$\eta_L = \frac{n_L}{s} \simeq 5.3 \times 10^{-3}$$

- Lepton asymmetry is much larger than the baryon asymmetry

$$\eta_{B,\text{obs}} \sim 10^{-10}$$

- If a lepton number is produced at  $T \gtrsim 100$  GeV, it is partially converted to a baryon number through the **sphaleron process**



$$\eta_B \simeq -\frac{8}{23}\eta_L$$

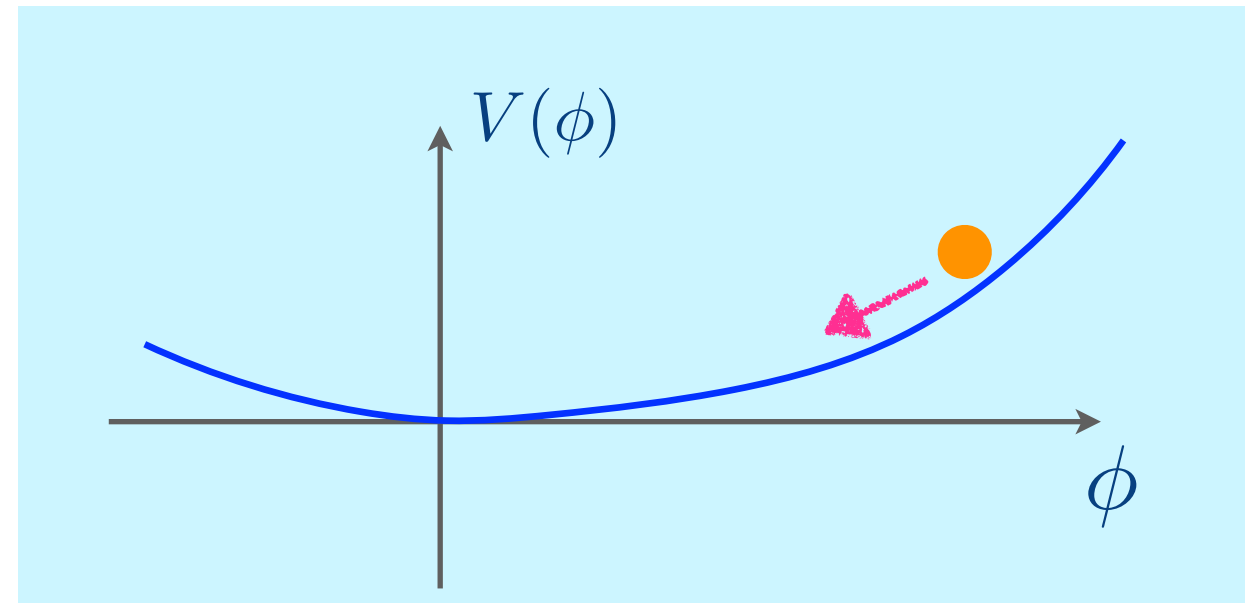
- Difficult to produce lepton asymmetry much larger than  $|\eta_B|$
- **Affleck-Dine leptogenesis** and **Q-balls ( L-balls )** can produce such a large lepton asymmetry  
MK Takahashi Yamaguchi (2002)  
Gelmini MK Kusenko Murai Takhistov (2020)
- Produced lepton number is confined inside Q-balls and protected against the sphaleron process

## 2. Affleck-Dine mechanism and Q-ball formation

### 2.1 Affleck-Dine mechanism

- Flat directions in the scalar potential of minimal SUSY standard model (MSSM)  $\ni$  (squark, slepton, Higgs)
- One of flat directions = AD field  $\Phi$
- AD field has a baryon number or/and a **lepton number**
- The flat direction is lifted by the effects of SUSY breaking and the existence of the cutoff scale
- Potential

We assume here



$$V(\phi) = (m_\phi^2 + c_H H^2) |\phi|^2 + \lambda^2 \frac{|\phi|^{2(n-1)}}{M_p^{2(n-3)}} + A \frac{\phi^n}{M_p^{(n-3)}} + h.c.$$

$V_{\text{susy}}$  : SUSY  
breaking mass term

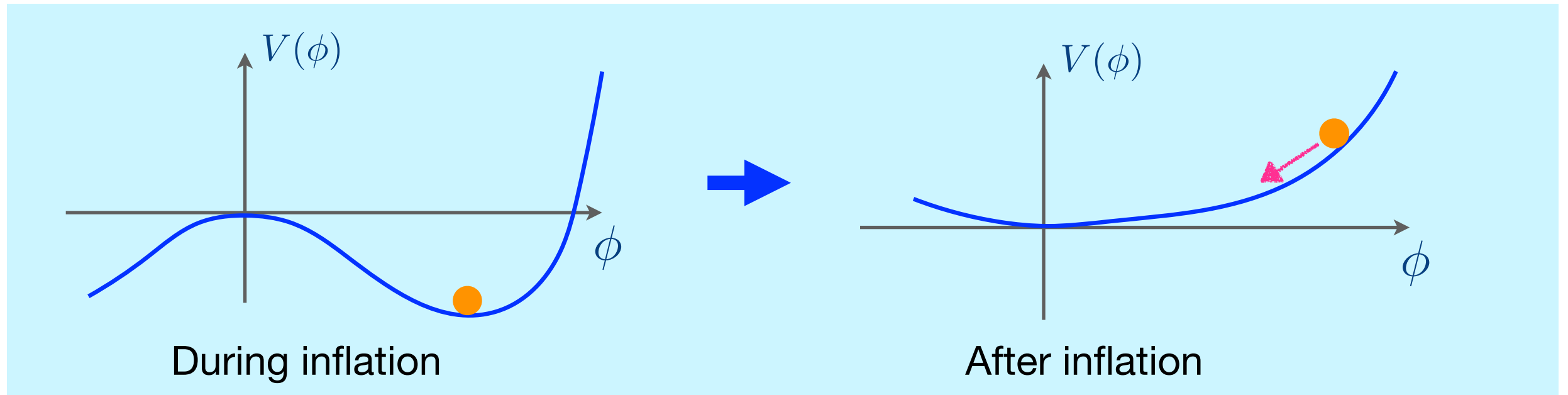
Hubble induced  
mass term

$V_{\text{NR}}$  : Non-renormalizable  
term ( $n \geq 4$ )

$V_A$  : A-term  
( $A \sim m_{3/2}$ )

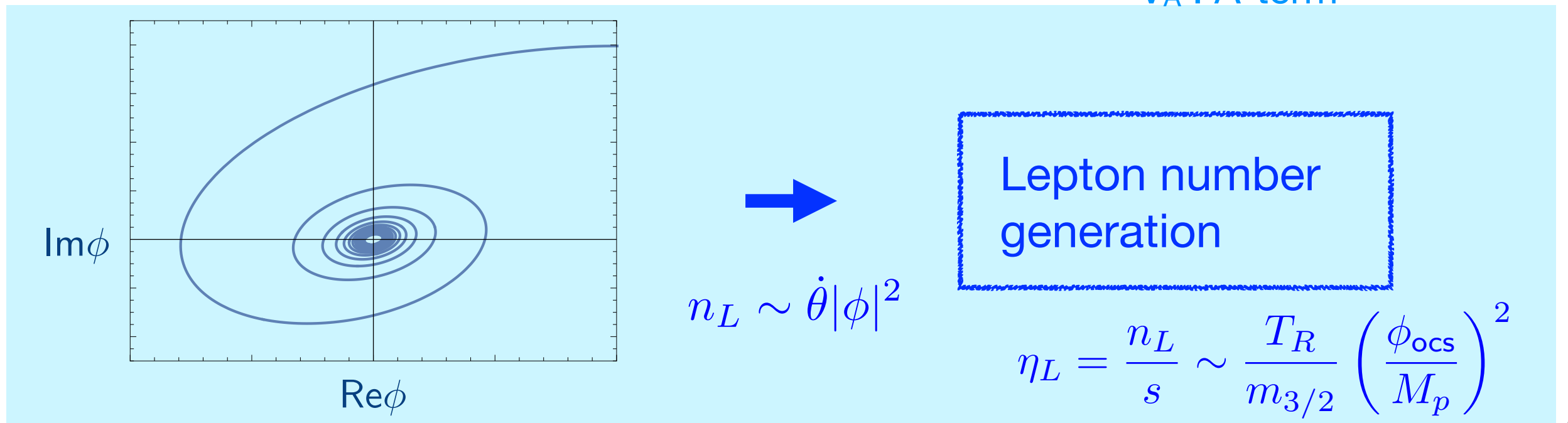
## 2.1 Affleck-Dine mechanism

- During inflation AD field has a large field value (if  $c_H < 0$ )
- After inflation AD field starts to oscillate when  $H \sim m_\Phi$



- AD field is kicked into phase direction due to  $\theta$ -dependent potential

$V_A$  : A-term

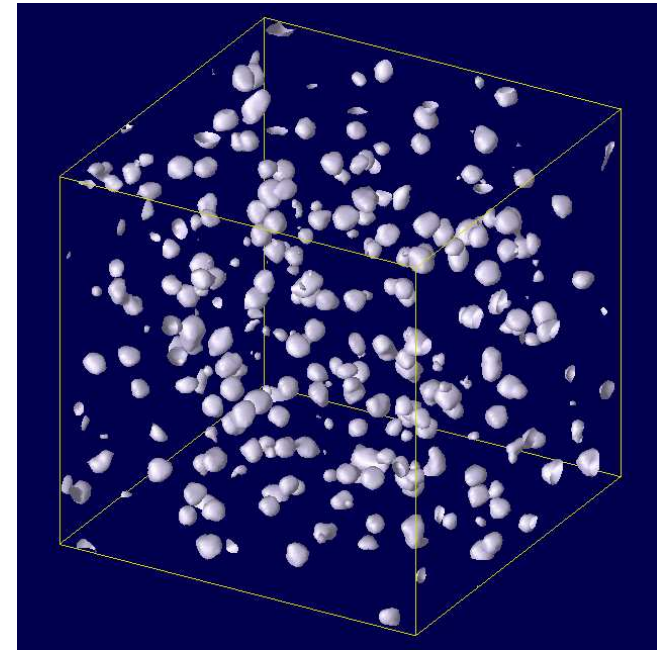


## 2.2 Q-balls formation

Kusenko Shaposhnikov (1998)

Kasuya MK (1999)

- AD field oscillation has spatial instabilities if the potential is flatter than  $\phi^2$
- AD field fragments into spherical lumps ( **Q-balls** )
  - ▶ Q-balls have **lepton charge** ( = L-balls )
- Q-ball properties depend on SUSY breaking models
- We consider **gauge-mediated SUSY breaking** models



Hiramatsu MK

Takahashi (2010)

$$V(\Phi) = \boxed{M_F^4 \left[ \log \left( 1 + \frac{|\Phi|^2}{M_{\text{mess}}^2} \right)^2 \right]} + \boxed{m_{3/2}^2 |\Phi|^2 \left[ 1 + K \log \left( \frac{|\Phi|^2}{M_*^2} \right) \right]}$$

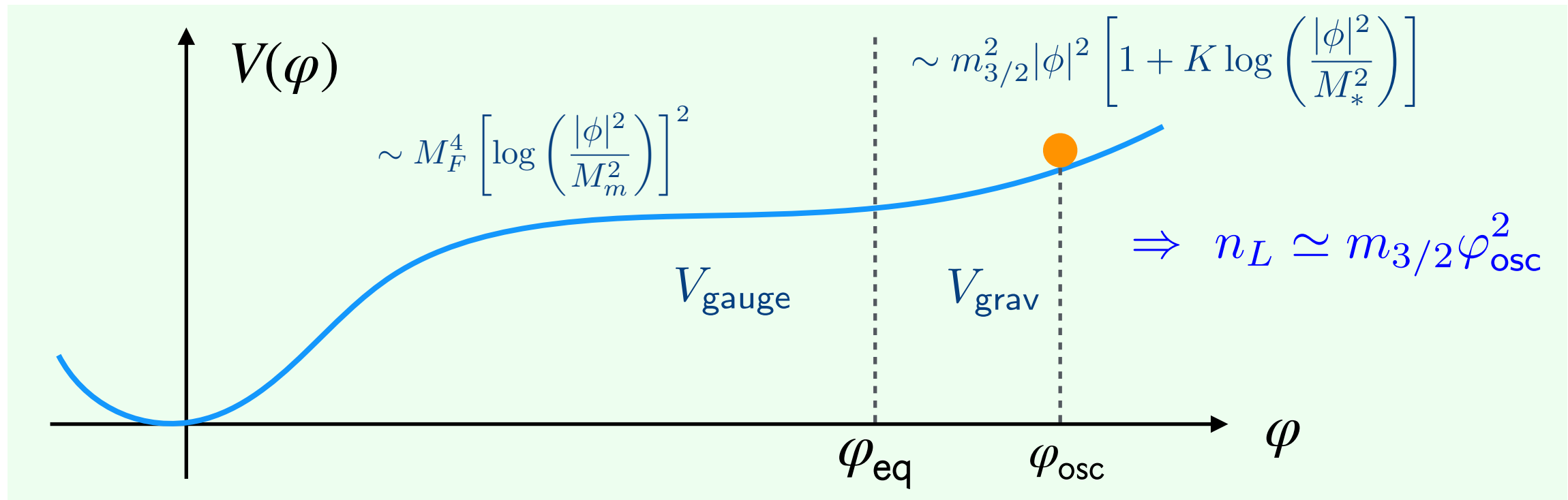
$V_{\text{gauge}}$

$V_{\text{grav}}$

$m_{3/2} < 1\text{GeV}$

- ▶ Q-balls are always formed when  $V_{\text{gauge}}$  dominates the potential
- ▶ Q-balls are formed if  $K < 0$  when  $V_{\text{grav}}$  dominates the potential

## 2.3 Q-ball formation



- AD field starts oscillation with amplitude  $\varphi_{\text{osc}} > \varphi_{\text{eq}}$  at  $H \sim m_{3/2}$
- We assume  $K > 0 \Rightarrow$  Q-balls do not form until  $\varphi < \varphi_{\text{eq}}$

► Q-ball formation is delayed [**delayed-type L-ball**]

- Q-ball mass, radius and energy per lepton number

$$M_Q = \frac{2\sqrt{2}\pi}{3} \zeta M_F Q^{3/4} \quad R_Q = \frac{1}{\sqrt{2}} \zeta^{-1} M_F Q^{-1/4}$$

$$\omega = dM_Q/dQ = \sqrt{2} \zeta M_F Q^{-1/4} \quad \zeta \sim 3.6$$

Hisano Nojiri Okada (2001)

$$Q = \beta (\varphi_{\text{eq}}/M_F)^4 \quad \beta \simeq 6 \times 10^{-4}$$



## 2.4 Q-ball evolution

- We assume that Q-balls dominate the Universe

- Q-balls decay emitting neutrinos with decay rate  $\Gamma_Q \simeq \frac{1}{Q} \frac{\omega_Q^3}{4\pi^2} 4\pi R_Q^2$

→ Lepton asymmetry is released

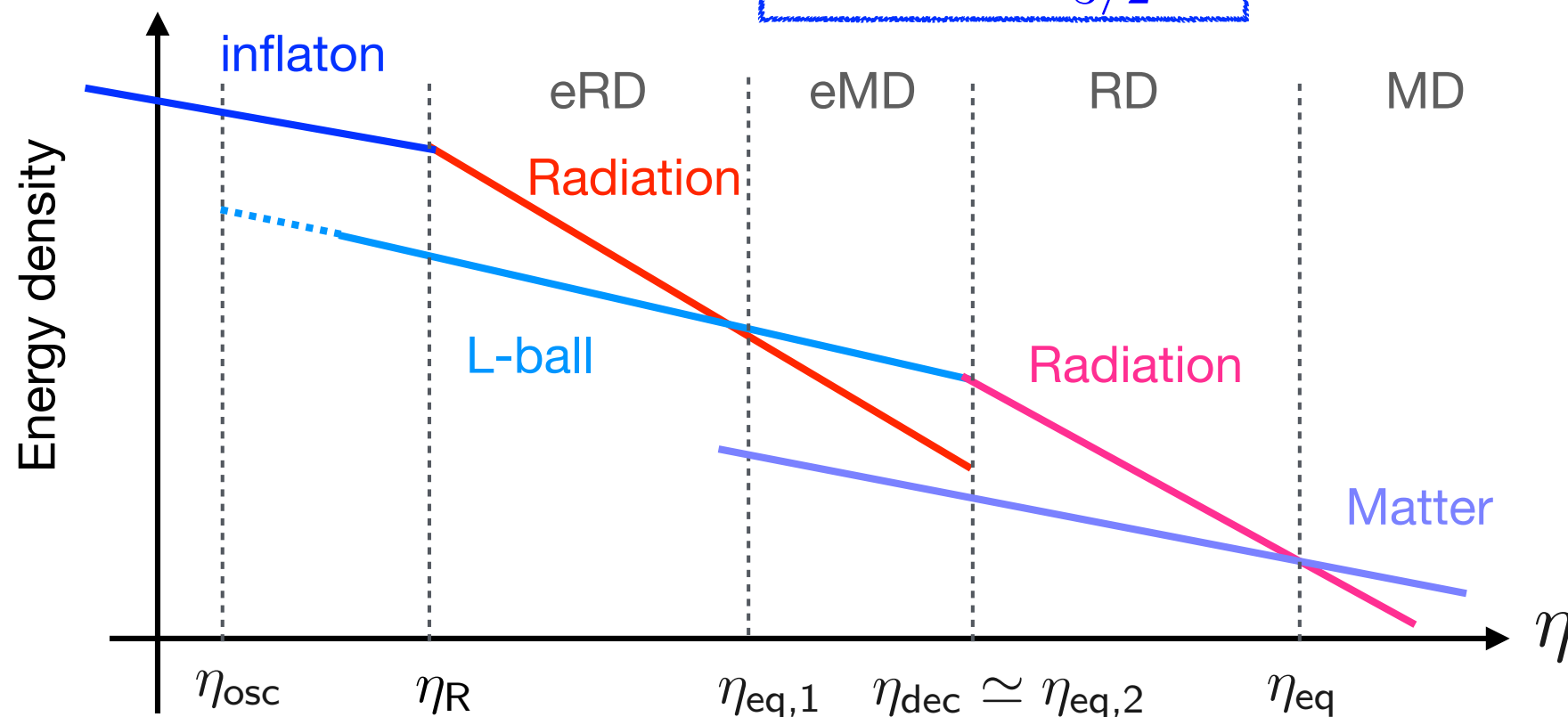
- Decay temperature

$$T_{\text{dec}} \simeq 2.69 \text{ MeV} \left( \frac{m_{3/2}}{0.5 \text{ GeV}} \right)^{5/2} \left( \frac{M_F}{5 \times 10^6 \text{ GeV}} \right)^{-2}$$

$\gtrsim 1 \text{ MeV}$   
for successful BBN

- Lepton asymmetry

$$\eta_L \simeq \frac{3T_{\text{dec}}}{4m_{3/2}}$$

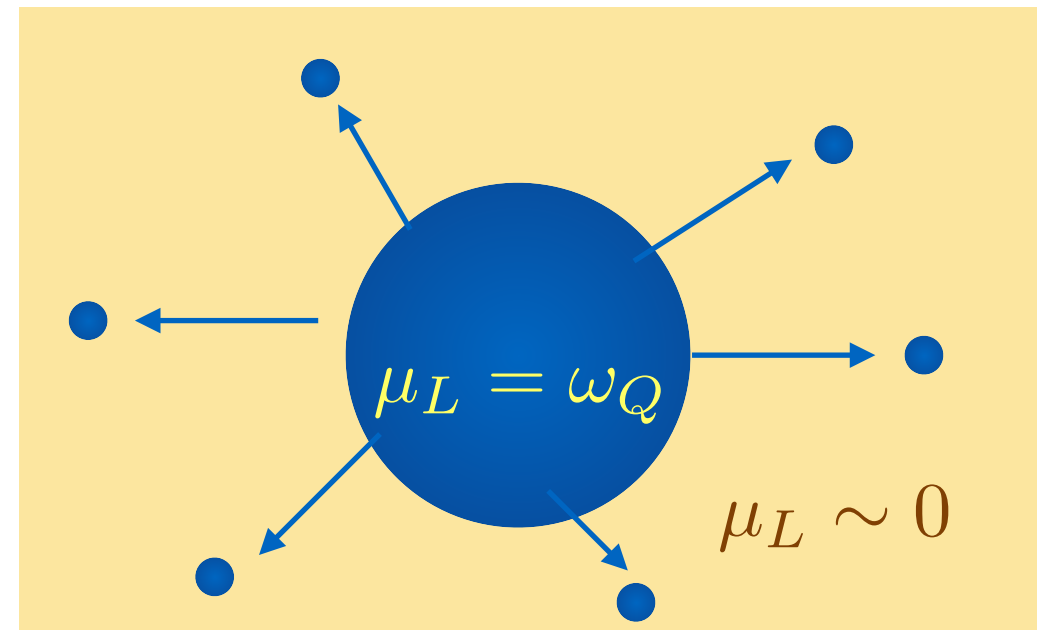


## 2.5 Q-ball evaporation

- Q-balls in thermal plasma emit their charge by evaporation
- A part of lepton number emitted above EW scale is converted into baryon number

$$\rightarrow \eta_{B,Q} = -\frac{8}{23} \frac{\Delta Q_{EW}}{Q} \eta_L$$

$\Delta Q_{EW}$ : evaporated charge  
above EW scale

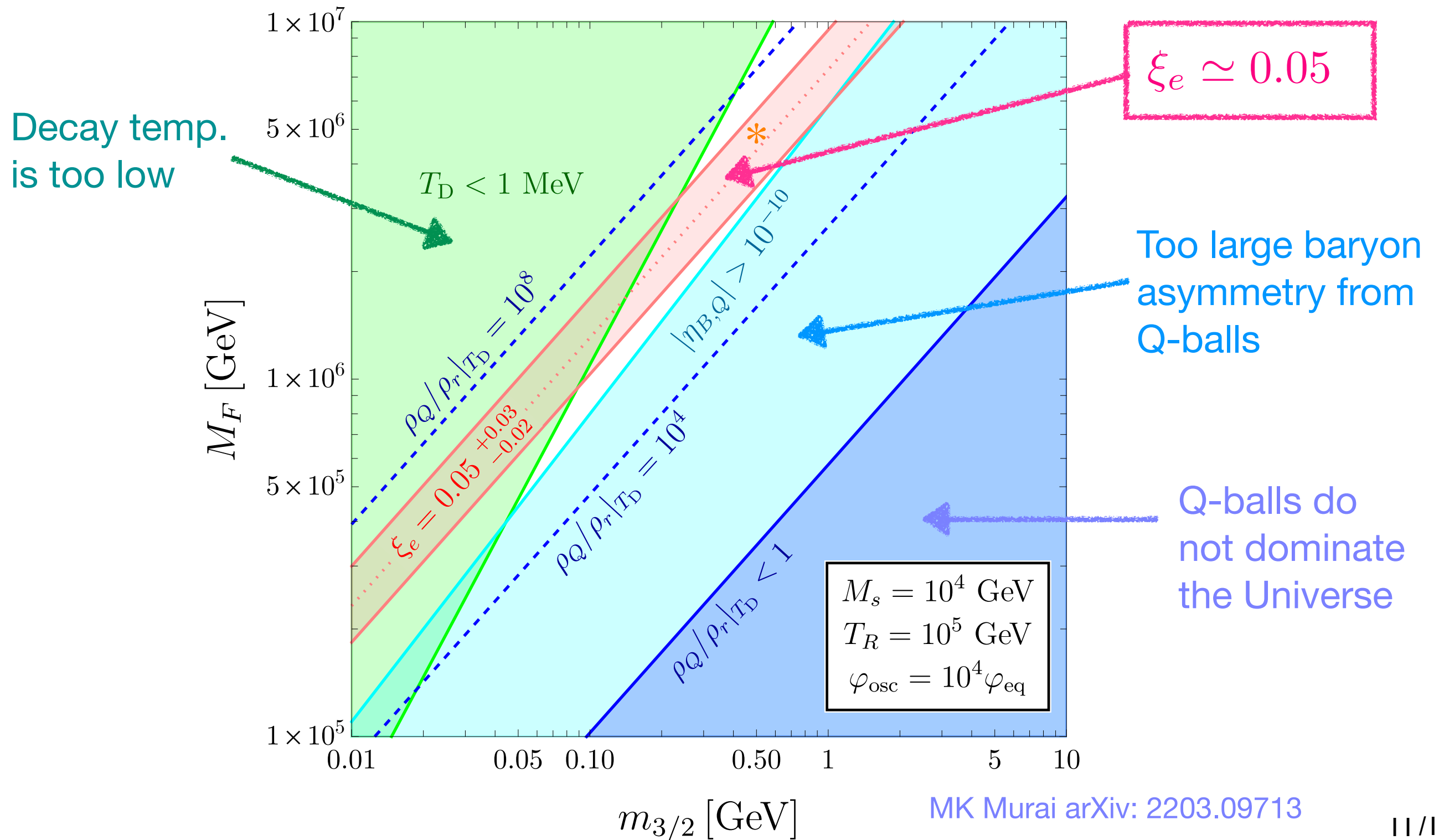


- The produced baryon asymmetry should be smaller than the observed one so as not to spoil the success of BBN

$$|\eta_{B,Q}| \lesssim \eta_{B,\text{obs}} \sim 10^{-10}$$

## 2.6 Constraints on model parameters

- Large lepton asymmetry suggested by the recent He4 observation is realized in Q-ball scenario



### 3. Production of 2nd-order GWs in Q-ball scenario

#### 3.1 Gravitational wave production

- Inflation produces curvature perturbations  $\zeta \sim 10^{-5}$  at large scales, which are in good agreement with CMB observations
- Curvature perturbations at small scales are not known and could be much larger
- Curvature and tensor perturbations do not couple in the linear order but they do in the second order

Ananda Clarkson Wands (2007)

Baumann Steinhardt Takahashi Ichiki (2007)

Saito Yokoyama (2009) Bugaev Kulimai (2010)

$$h''_{ij} + 2\mathcal{H}h'_{ij} - \nabla^2 h_{ij} = \mathcal{O}(\zeta^2)$$

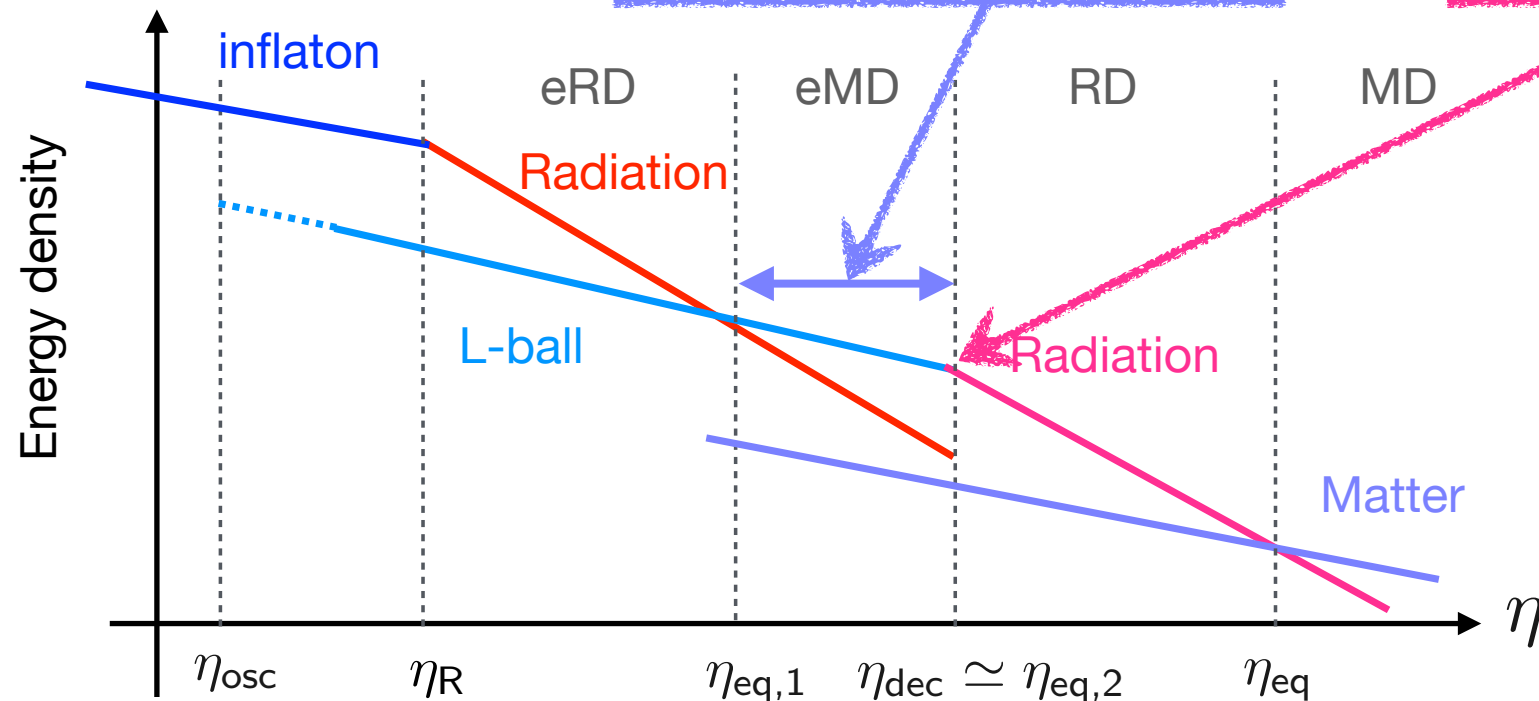
- GWs are produced by the 2nd order effect of scalar perturbations
- Furthermore, GW production is enhanced when there exists an early MD era with a sharp transition to the RD era (**Poltergeist mechanism**)

Inomata Kohri Nakama Terada (2019)

Inomata MK Mukaida Terada Yanagida (2020)

## 3.2 Enhancement of GWs at Q-ball decay

- Q-balls realize an early MD universe and decay rapidly



- Q-balls decay rapidly

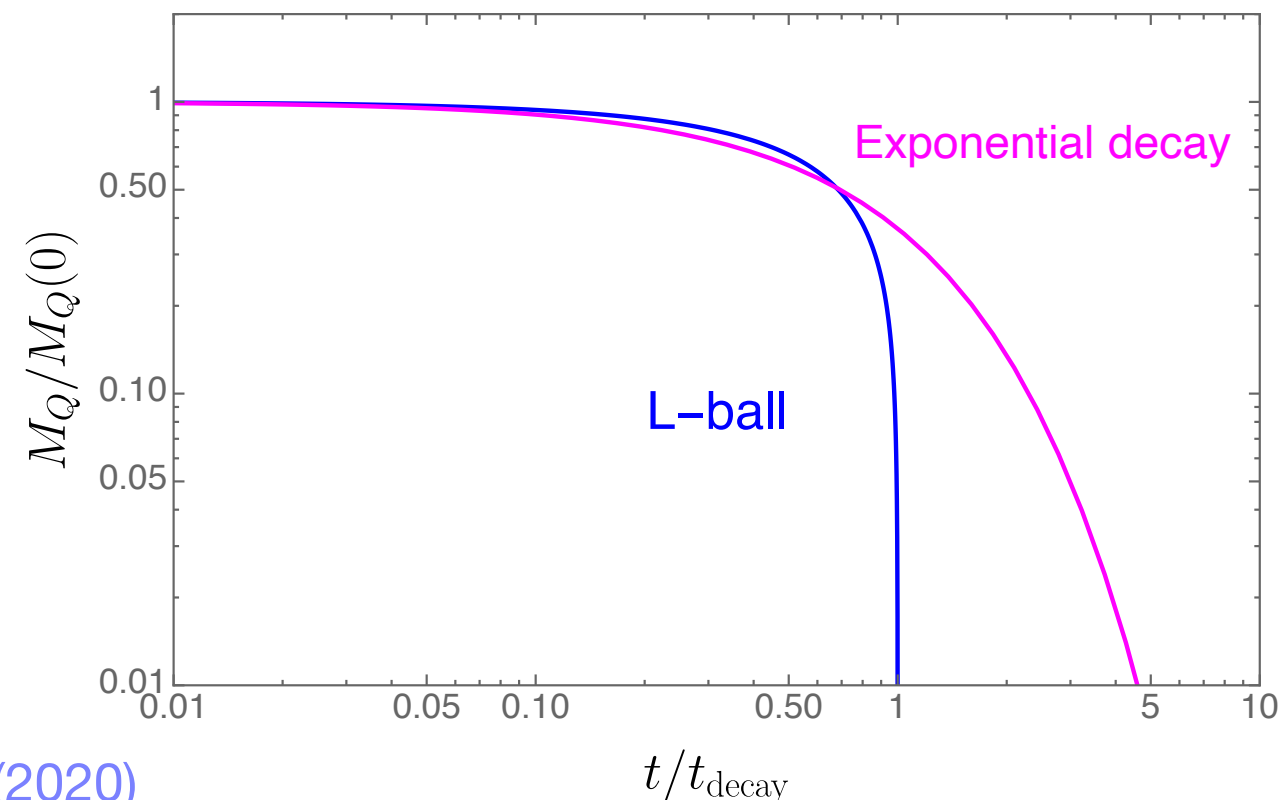
$$\Gamma = \frac{1}{Q} \frac{dQ}{dt} = \frac{4}{5} \frac{1}{t_{\text{decay}} - t}$$

$$\rightarrow M_Q = M_Q(0) \left(1 - \frac{t}{t_{\text{decay}}}\right)^{3/5}$$

- Q-balls enhance GW production

White Pearce Vagie Kusenko (2020)

- We estimate GW production taking into account the time evolution of Q-ball energy



## 3.2 Enhancement of GWs at Q-ball decay

- Scale-invariant power spectrum of curvature perturbations

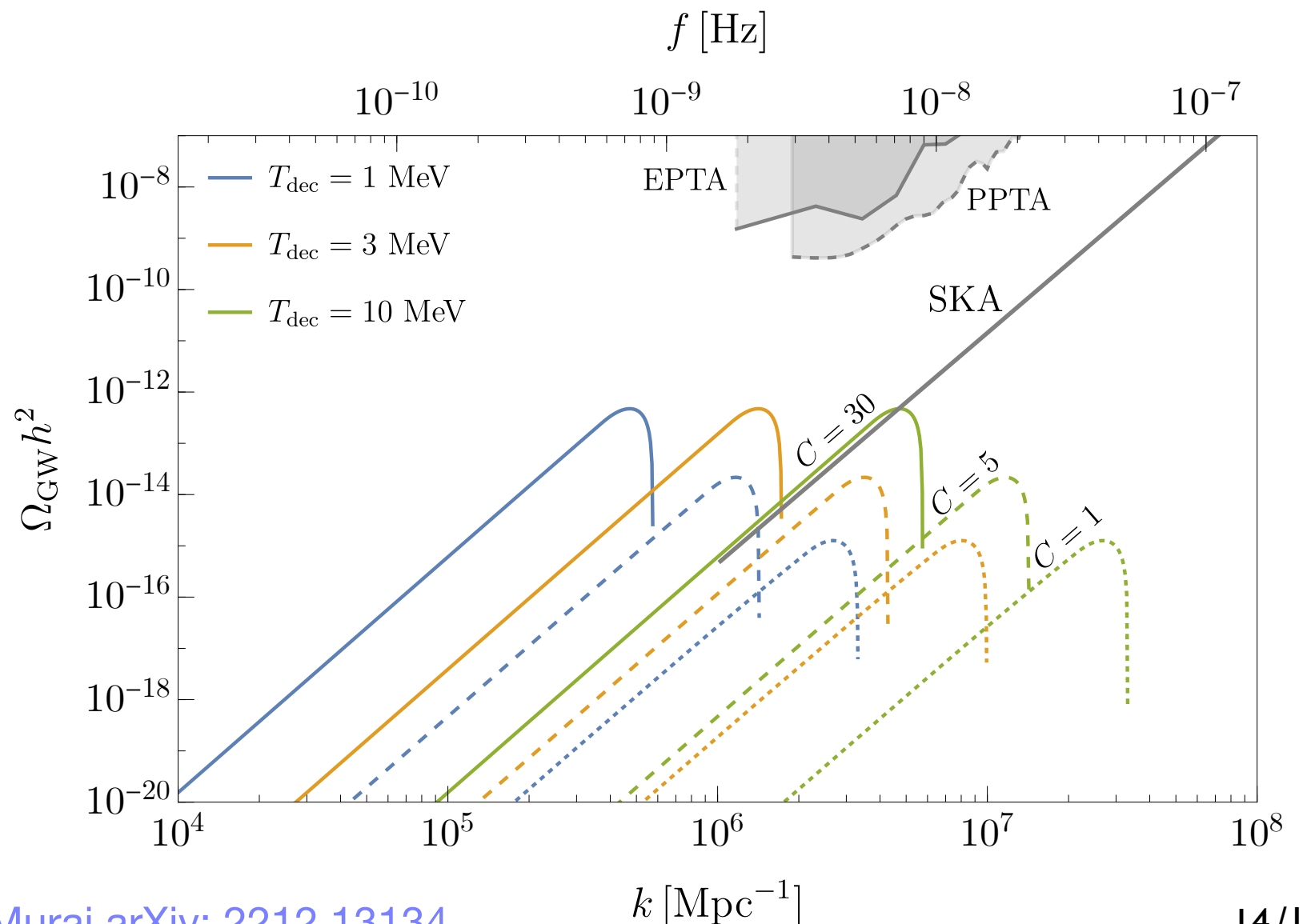
- ▶  $A_s \simeq 2 \times 10^{-9}$   
(amplitude at CMB scale)

$$\mathcal{P}_\zeta(k) = C^2 A_s \theta(k_{\text{NL}} - k)$$

- ▶  $k_{\text{NL}}$  : cut-off scale where matter perturbations become non-linear at L-ball decay ( introduced to avoid considering non-linear evolution )

- GW spectrum

- ▶ Peak is determined by the cutoff  $k_{\text{NL}}$
- ▶ Need to understand non-linear evolution



### 3.3 Effect of non-linear density evolution

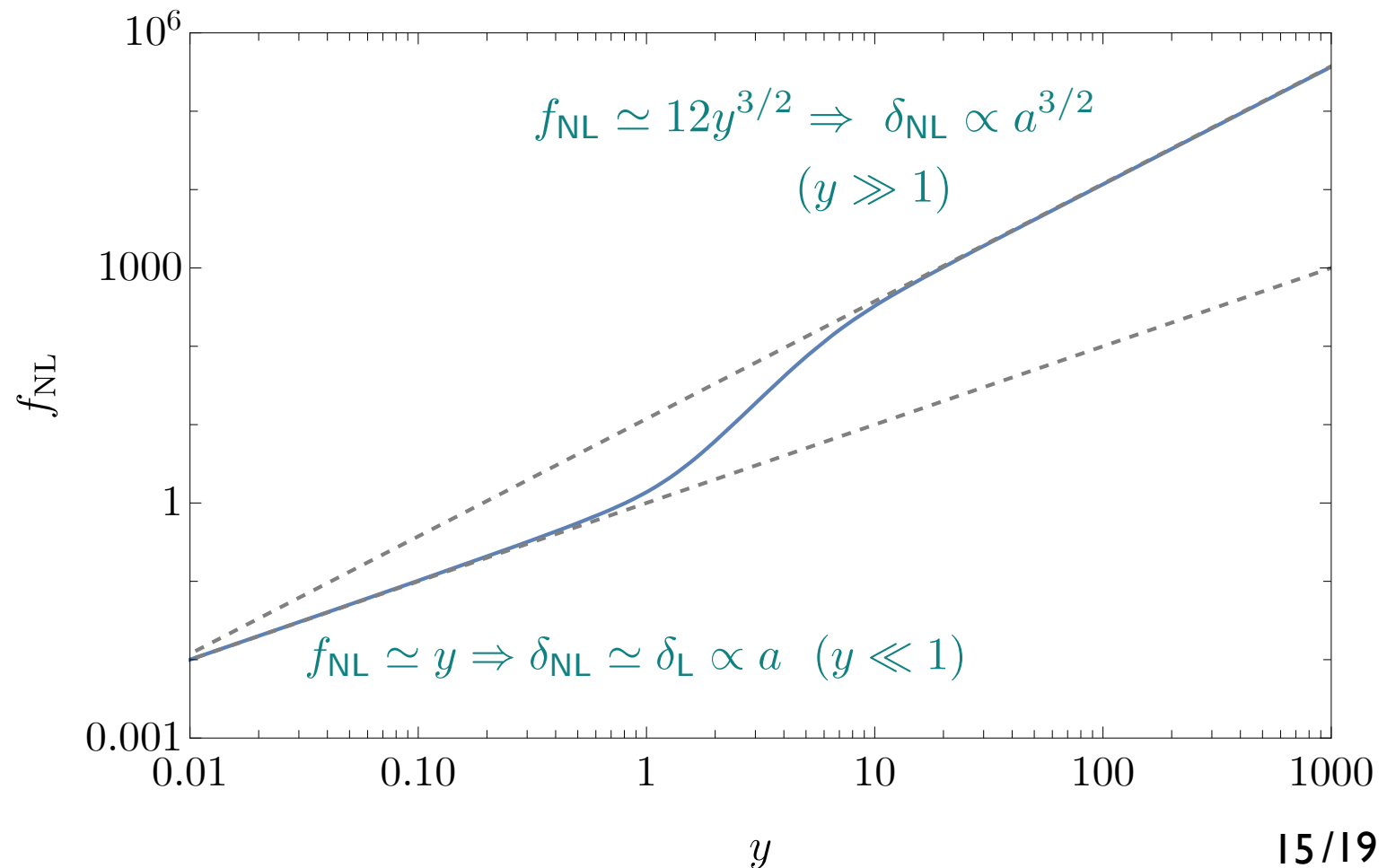
MK Murai arXiv: 2308.13134

- Estimation including the effect of non-linear density evolution
  - ▶ Gravitational potential  $\Phi$  is linear
  - ▶  $\Phi$  is related to density fluctuation  $\delta\rho$  through Poisson eq.
  - ▶ Anisotropic stress is neglected
- N-body simulation suggests that non-linear density fluctuation is related to linear density fluctuation

$$\delta_{\text{m,NL}}^2(k_{\text{NL}}) = f_{\text{NL}}[\delta_{\text{m,L}}^2(k_{\text{L}})]$$

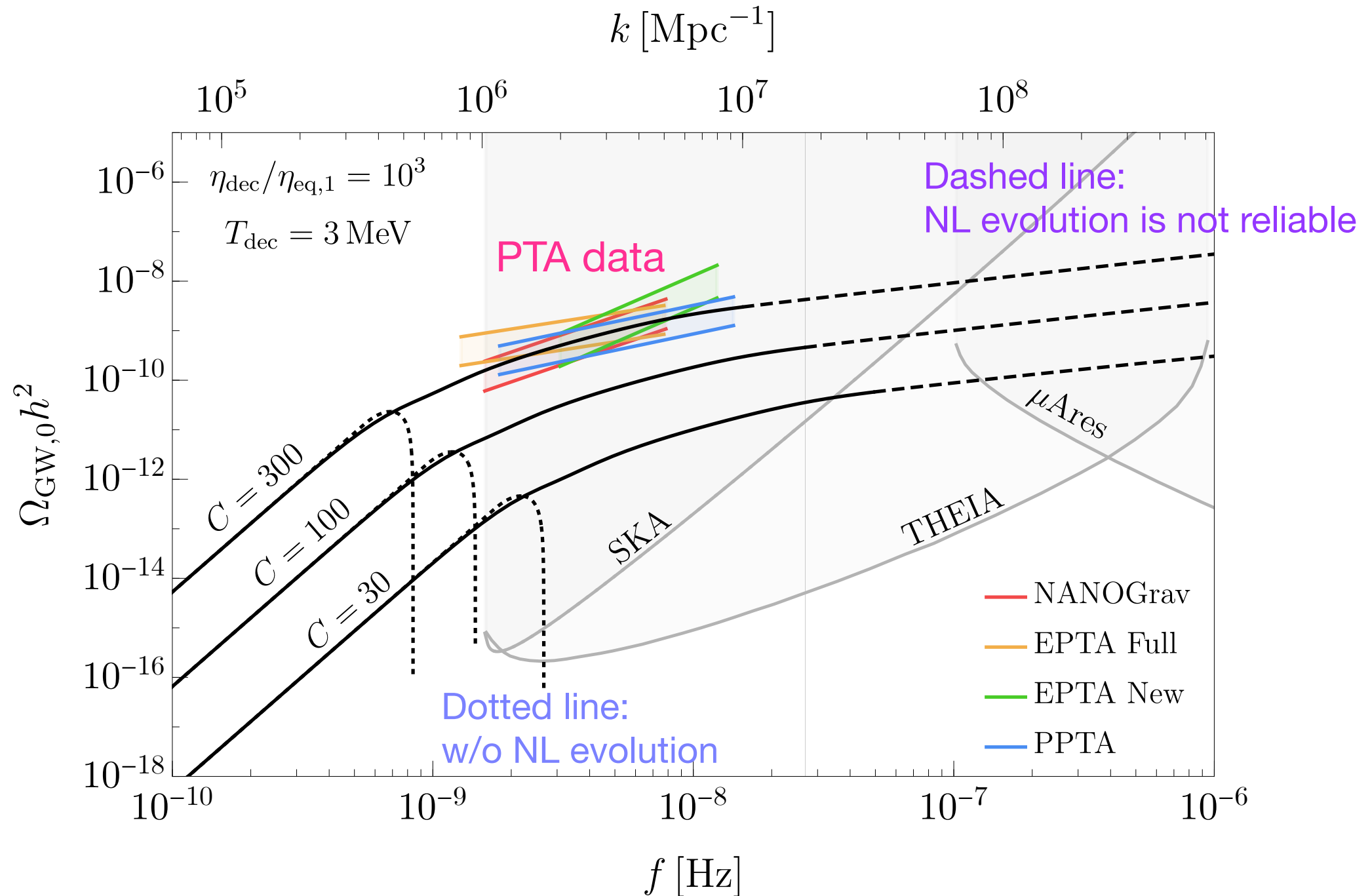
$$k_{\text{L}} = [1 + \delta_{\text{m,NL}}^2(k_{\text{NL}})]^{-1/3} k_{\text{NL}}$$

- ▶ Estimation of  $f_{\text{NL}}(y)$  is reliable for  $y < 10^3$



### 3.4 GW spectrum including NL evolution

- Power spectrum  $\mathcal{P}_\xi(k) = C^2 A_s$
- $T_{\text{dec}} \simeq 3 \text{ MeV}$  and  $C \simeq 300$  explain the recent PTA data





## 4. Resonant sterile neutrino production in Q-ball scenario

Kasai MK Murai arXiv: 2403.01675

- Sterile neutrino  $\nu_s$  with mass  $m_s = \mathcal{O}(1)$  keV  $\rightarrow$  **dark matter**
- Mixing with active neutrinos

$$\begin{aligned}\nu_a &= \cos \theta \nu_1 + \sin \theta \nu_2 \\ \nu_s &= -\sin \theta \nu_1 + \cos \theta \nu_2\end{aligned}\quad a = e, \mu, \tau$$

- Finite temperature and density effects

► Effective mixing angle

$$\theta_m^2(p, T) = \theta^2 \left[ \left( 1 - \frac{2p}{m_s^2} (V_{Ta}(p, T) + V_{Da}(p, T)) \right)^2 + \frac{p^2 \Gamma_{\nu_a}^2(p, T)}{m_s^4} \right]^{-1}$$

Finite temp. Effect  $V_{Ta} \sim -G_F^2 p T^4$     Density effect  $V_{Da} \sim G_F T^3 \mathcal{L}_a$

► Potential lepton asymmetry

$$\mathcal{L}_a = 2L_{\nu_a} + \sum_{a \neq b} L_{\nu_b} \quad L_{\nu_a} = \frac{n_{\nu_a}}{s}$$

- Sterile neutrino production

$$\frac{dn_s}{dt} \propto T^5 \theta_m^2 n_a$$

## 4. Resonant sterile neutrino production in Q-ball scenario

- Without large lepton asymmetry  $V_{Da} \sim 0$  Dodelson Widrow (1993)

► Sterile  $\nu$  are produced via Dodelson-Widrow mechanism

► Constraint from X-ray obs.  $\rightarrow m_s \lesssim 3 \text{ keV} \rightarrow$  Warm DM

► Constraint from Lyman-alpha  $\rightarrow m_s \gtrsim 20 \text{ keV}$

- DW mechanism cannot account for DM

- Large lepton asymmetry  $\leftarrow$  produced by Q-ball decay

► Resonant production

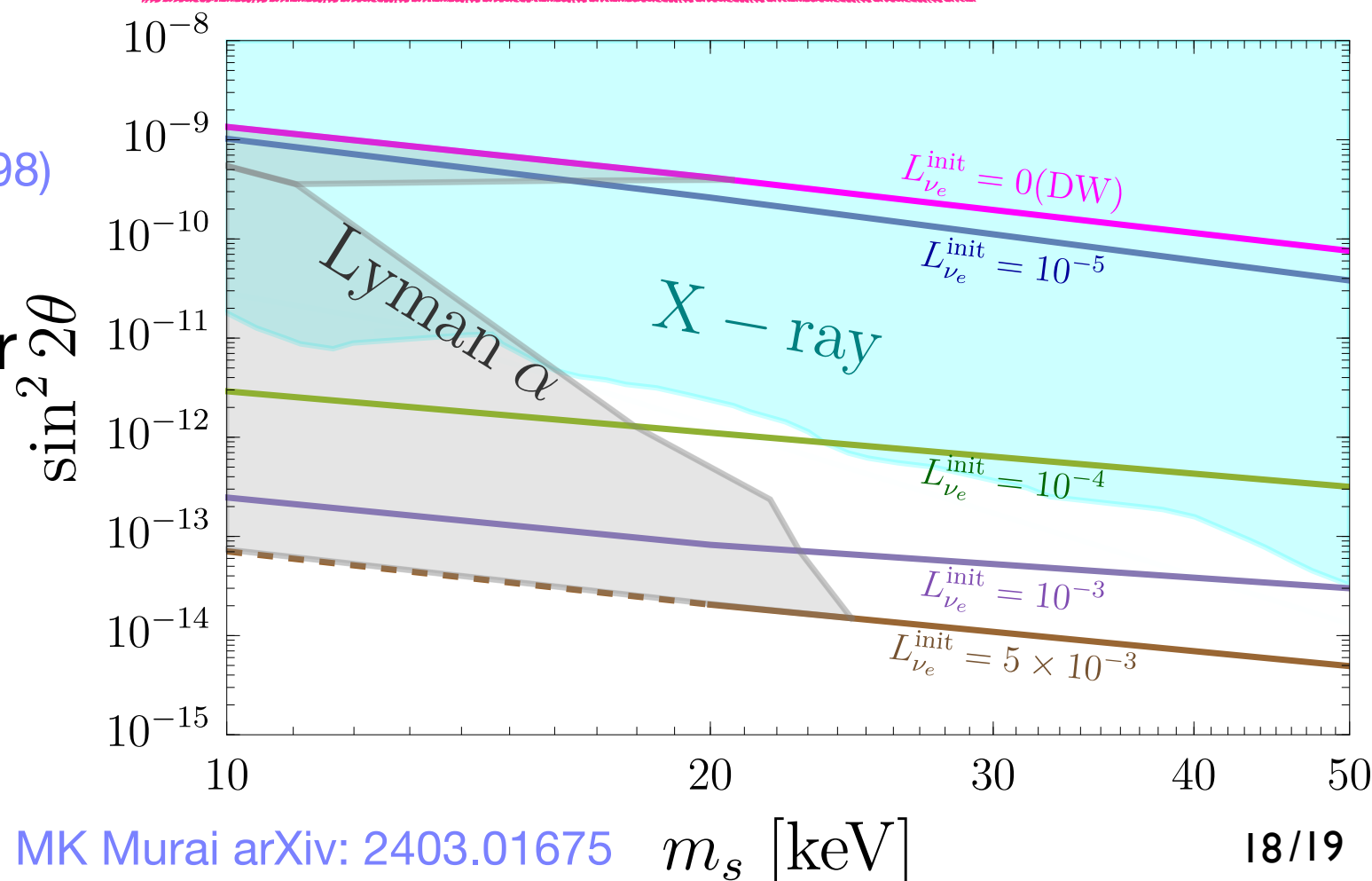
Shi and Fuller (1998)

$$m_s^2/2p - V_{Ta} - V_{Da} \sim 0$$

► DM density is realized for a smaller mixing angle

- Sterile  $\nu$  explains all DM

$$L_{\nu_e} \gtrsim 10^{-4} \quad m_s \gtrsim 20 \text{ keV}$$



## 4. Conclusion

- Recent He4 measurement suggests that our universe has a large lepton asymmetry
- Q-ball scenario successfully realizes a large lepton asymmetry suggested by the He4 measurement
- Q-balls also dominate the universe and decay rapidly, which significantly enhances gravitational wave production from curvature perturbations.
- For precise estimation of GW spectrum we need understand the effect of non-linear growth of density perturbations
- Sterile neutrinos are produced through resonance and can be dark matter of the universe